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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/083,769	
		Filing Date	May 22, 1998	
		First Named Inventor	Gerard McGoogan	
		Group Art Unit Number	2836	
		Examiner Name	R. Leja	
Total Number of Pages in This Submission		5	Attorney Docket Number	3454

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Copy of Official Filing Receipt <input checked="" type="checkbox"/> Copy of Verified-Statement Claiming Small Entity <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Greg T. Sueoka / Reg. No.: 33,800	Dated:	June 15, 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:			
Typed or Printed Name:	Greg T. Sueoka	Dated:	June 15, 2000
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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Gerard McGoogan
SERIAL NO.: 09/083,769
FILING DATE: May 22, 1998
TITLE: Intrinsically Safe Circuits
EXAMINER: R. Leja
GROUP ART UNIT: 2836
ATTY. DKT. NO.: 3454

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: Jun 15, 2000

By: Greg T. Sueoka
Greg T. Sueoka, Reg. No.: 33,800

ASSISTANT COMMISSIONER FOR PATENTS
APPLICATION PROCESSING DIVISION
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WASHINGTON, DC. 20231

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The Filing Receipt does not indicate a Small Entity Status, as evidenced by the Verified-Statement Claiming Small Entity, a copy of which is enclosed.

Please issue a corrected Filing Receipt rectifying this error.

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☒ The correction is not due to any error by the Applicant and therefore no fee is due.

Respectfully submitted,
GERARD MCGOOGAN

Dated: Jun 15, 2020

By: 

Greg T. Sueoka, Reg. No.: 33,800

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Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (650) 858-7194

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19900/03454/DOCS/1057474.1

FILING RECEIPT

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CORRECTED

FENWICK & WEST LLP



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/083,769	05/22/98	2836	\$976.00	3454	5	21	5

GREG T SUEOKA
FENWICK AND WEST
TWO PALO ALTO SQUARE
PALO ALTO CA 94306



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) GERARD MCGOOGAN, STIRLINGSHERE, UNITED KINGDOM.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/18/99

TITLE

INTRINSICALLY SAFE CIRCUITS

PRELIMINARY CLASS: 361

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DATA ENTRY BY: TIFFANY VINES

TEAM: 13 DATE: 11/18/99

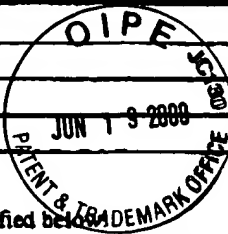


(See reverse for new important information)

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(a) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional):
3454

Applicant or Patentee: Gerard McGuigan
Application or Patent No.: 09/083 769
Filing Date or Issue Date: May 22, 1998
Title: Improvements in Intrinsically Safe Circuits



COPY

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Diagnostic Instruments Limited
ADDRESS OF SMALL BUSINESS CONCERN 2 Michaelson Square, Kirkton Campus
Livingston, West Lothian EH54 7DP, UNITED KINGDOM

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each such person, concern or organization having any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern or organization is listed below:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING JOHN McGLONE
TITLE OF PERSON IF OTHER THAN OWNER DIVISION DIRECTOR
ADDRESS OF PERSON SIGNING 2 Michaelson Square, Kirkton Campus, Livingston, West Lothian EH54 7DP, U.K.
SIGNATURE [Signature] DATE 31 JULY '98

19900/3646

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Bib Data Sheet



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Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/083,769	FILING DATE 05/22/1998 RULE -	CLASS 361	GROUP ART UNIT 2836	ATTORNEY DOCKET NO. 3454
APPLICANTS GERARD MCGOOGAN, STIRLINGSHERE, UNITED KINGDOM;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/18/1999				
** SMALL ENTITY **				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance		STATE OR COUNTRY UNITED KINGDOM	SHEETS DRAWING 5	TOTAL CLAIMS 21
Verified and Acknowledged Examiner's Signature _____ Initials _____			INDEPENDENT CLAIMS 5	
ADDRESS GREG T SUEOKA FENWICK AND WEST TWO PALO ALTO SQUARE PALO ALTO ,CA 94306				
TITLE INTRINSICALLY SAFE CIRCUITS				
FILING FEE RECEIVED 1399	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	